

### **HSEC Management** System

Doc. No.	HSEC_FOR 031023
Version:	1.0
Reviser:	Sofiane Chebli
Approved by:	John Perry
Approval date:	21/11/2023



## andou project Medical Assessment\_Long stay\_International

## PRIVACY NOTICE:

Simfer SA is a member of the Rio Tinto Group and is committed to protecting the health and safety of our workforce. Medical assessment and approval is required prior to travelling to Guinea for the Simandou Project

The medical assessment must be conducted at an approved Clinic and results submitted to the Simfer Medical Team at simfermedicalteam@riotinto.com for review and approval.

The personal data requested on this form (your personal data) includes detailed health information about you and is

- determining if you are fit for travel to Guinea and work on Simandou project.
- providing you with appropriate medical care if needed whilst you are in Guinea.
- ensuring you have all the mandatory vaccinations.
- ensuring you have been advised and offered the highly recommended vaccinations.
- ensuring you have been advised that malaria chemoprophylaxis is highly recommended.

The purpose of requiring this information is because working in Guinea poses significant health risks. This includes limited access to medical facilities and services, exposure to a range of vector borne and infectious diseases, and delays in medical evacuation should it be required. These factors may impact your health and especially if you have a pre-existing medical condition.

Your personal data will be processed by the Simfer Medical Team for the Simandou Project. If there are medical abnormalities noticed on your assessment form, the Simfer Medical Team may share your personal data with an external doctor engaged to provide services to Rio Tinto. Your personal data will not be shared with anyone else unless you require urgent medical treatment and/or need to be evacuated because you have a serious medical problem. In such circumstances your personal data may need to be shared with the Rio Tinto Health team or other health professionals providing services to Rio Tinto such as International SOS, or your insurance provider (on a strictly 'need to know' basis).

Rio Tinto relies on its legitimate interests to process this personal data relating to you, and specifically its interest in ensuring workplace health and safety. If you are a Rio Tinto employee based in a country where your consent is needed in order to collect your personal data or your health information or both, Rio Tinto relies on your consent to do so. Your personal data will be retained for the period that you are assigned to the Simandou project, after which time it will be archived for a twoyear period and then securely deleted.

Under the Rio Tinto Data Privacy Standard (available from https://www.riotinto.com/sustainability/policies) you have data privacy rights, including the right to seek access to or rectification of records containing your personal data and to be provided with information data processing. To exercise data subject rights described in the Data Privacy Standard, please contact Simfermedicalteam@riotinto.com or email askE&C@riotinto.com.

Acknowledgement and Consent: I confirm that I have read this Privacy Notice and that I agree to the processing of my personal data (including my health information) as described above. I also understand that processing of my personal data (including my health information) may be undertaken where necessary to comply with Rio Tinto's legal obligations and that where processing of my personal data (including my health information) is based on my consent, I can withdraw that consent by notifying Simfermedicalteam@riotinto.com

Print Name: LU XUZHEN

Signature:

Date: 09-07-2024



Doc. No.	HSEC_FOR 031023
Version:	1.0
Reviser:	Sofiane Chebli
Approved by:	John Perry
Approval date:	21/11/2023



## Simandou project Medical Assessment\_Long stay\_International

#### CONFIDENTIAL

The completed Form is to be emailed to the Simfer Medical Team: Simfermedicalteam@riotinto.com

#### 1- PERSONAL INFORMATION: to be completed by the Applicant.

First and Last Name	LU XUZHE	N		Date of Birth	02-03-1989	
Nationality	CHINESE	CHINESE				
Employer	COVEC	COVEC				
Indicate Job/Position	MARKETIN	MARKETING				
Purpose of the travel						
Home address	KIPE					
Home Phone			Mobile Phone	625025752		
Passport /ID Number	EB9757324		Expiry Date	28-01-2028		
Email						
	Name	YANN XIA				
<b>Emergency Contact</b>	Phones	610605546				
	Email					

#### 2- HEALTH QUESTIONNAIRE: To be completed by the Applicant

Complete all questions truthfully. If answered "YES" – please provide further details in the comments section. Have you ever had or are you currently suffering from any of the following conditions?

1. Family History (Parents)	YES NO
Heart Disease or High Blood Pressure	
Epilepsy or Convulsions	
Glaucoma or Blindness	
Diabetes Mellitus (sugar sickness)	
Cancer / Blood Disease	
Hereditary Disease / Congenital Abnormalities	
Respiratory Diseases (Pneumonia, Pneumoconiosis, TB, Asthma)	
Provide further comment for items marked "YES"	
2. Medical History	YES NO
2.1 Central Nervous System	



Doc. No.	HSEC_FOR 031023
Version:	1.0
Reviser:	Sofiane Chebli
Approved by:	John Perry
Approval date:	21/11/2023



Dizziness, blackouts, or Unsteadiness  Head Injury / Concussion / Unconsciousness  Epilepsy or fits if any kind  Any Mental / Psychological Disorder / Phobia  2.2 Cardiovascular System  Heart Disorders e.g., Rheumatic fever, heart murmur, shortness of breath, palpitations, chest pains, angina, or heart attack  High blood pressure, high cholesterol or circulatory disorder including a stroke, cramps in the calves with
Epilepsy or fits if any kind  Any Mental / Psychological Disorder / Phobia  2.2 Cardiovascular System  Heart Disorders e.g., Rheumatic fever, heart murmur, shortness of breath, palpitations, chest pains, angina, or heart attack
Epilepsy or fits if any kind  Any Mental / Psychological Disorder / Phobia  Cardiovascular System  Heart Disorders e.g., Rheumatic fever, heart murmur, shortness of breath, palpitations, chest pains, angina, or heart attack
2.2 Cardiovascular System  Heart Disorders e.g., Rheumatic fever, heart murmur, shortness of breath, palpitations, chest pains, angina, or heart attack
Heart Disorders e.g., Rheumatic fever, heart murmur, shortness of breath, palpitations, chest pains, angina, or heart attack
or heart attack
High blood pressure, high cholesterol or circulatory disorder including a stroke gramps in the coluce with
exercise  2.3 Lower Respiratory System
Asthma /Chronic Cough / Pneumoconiosis
Tuberculosis or Pneumonia
2.4 Upper Respiratory System
ENT (Ear, Nose & Throat) disorders
Hearing or Speech Disorders
2.5 Dermatology / Muscular Skeletal System
Malignant Tumours or Cancer
Skin Disorders (Psoriasis, Eczema, Acne) that may prevent the use of work clothing or PPE
Disease of Muscle, Bone, Joints, back
2.6 Urinary & Reproductive System
Kidney Stone or Urinary Infections
Prostate / Gynaecological Problems
Are you pregnant (females only)
2.7 Abdominal
Heartburn, Frequent Indigestion
Stomach, Liver, or Intestinal trouble
Bleeding from the Rectum
2.8 Endocrine
Diabetes Mellitus (sugar sickness)
Thyroid disease, glandular disorder,
Blood Diseases
2.9 Gynaecology- Obstetrics (Female applicants only)
Are you pregnant?
If yes, please indicate the age of pregnancy:
Any pregnancy complications?
2.10 Others
Admission to hospital for any reason
Any Surgery / Operation
Any tropical disease e.g., bilharzias or malaria



Doc. No.	HSEC_FOR 031023
Version:	1.0
Reviser:	Sofiane Chebli
Approved by:	John Perry
Approval date:	21/11/2023



Eye p	roblems		型
Any teeth problems			Q
Any auto-immune disorders			A
Blood	coagulation disorders		
Organ	Transplant		₩.
Cance	er, growth, or tumour of any kind		R
Do yo	u think your current workplace may be affecting your health?		Q
Unex	plained Weight-loss or Grain		
Provi	de further comment for items marked "YES"		
3.	Social History	YES	NO
Alcoh	ol l		d
If yes,	how many grams per week (10g = 1 can beer = 1 glass wine = 1 glass/nip spirit)		
Recre	ational drugs		R
If yes,	please specify:		
Exerc	ise, sport	P	
If yes	please provide type and frequency?		
	20 pompos envision tous la jours		
Smok	Never	R	
	Ex Smoker	0,	
	Smoker		
If Smo	oker, how many cigarettes per day		
4	Psychological Screening	YES	NO
Have	you ever been advised not to work on heights, do shift work, night work, or any kind of work		2
Do you or did you ever have any nervous or mental complaint, e.g. Epilepsy, Blackouts, Dizzy spells,			8
Episodes of sudden weakness, anxiety or Depression			
Have you ever been referred to a specialist, particularly a psychologist or psychiatrist or any other health			1
professional for medical evaluation, opinion or treatment involving your mental functions or emotional state			
Do you have a fear of heights or enclosed spaces			P
Are you aware of any other problems that could affect your ability to safely perform expected duties working			B
on he	ghts / in enclosed spaces		
Have	you been informed of tasks you are expected to perform and safety requirements for working on heights		9
1 0276			
	closed spaces you ever attempted suicide or had suicidal thoughts		



Doc. No.	HSEC_FOR 031023
Version:	1.0
Reviser:	Sofiane Chebli
Approved by:	John Perry
Approval date:	21/11/2023



Do you often feel sad, depressed, or hopeless		D.		
Do you often have thoughts that are not your own, e.g.: message from the gods, devil or evil spirits				
Do you consider yourself to have special powers, e.g.: you can fly without any wings or help				
Do you often feel irritable; feel that everything is an effort				
Do you often feel nervous, or have no control over your worries				
Are you known to start arguments				
Do you often feel restless or on the edge		8		
Provide further comment for items marked "YES"				
5. Respiratory/ TB Questionnaire	YES	NO		
Do you usually cough first thing in the morning		4		
Do you usually cough during the day or night		4		
Do you usually bring up any phlegm during the day or night		4		
Have you ever coughed up blood		4		
Does your chest ever feel tight, or your breathing become difficult				
Are you troubled by shortness of breath when hurrying on level ground or walking up a slight hill				
Is your breathlessness worse on any day				
Does your chest ever sound wheezy or whistling		4		
During the past 3 years have you had any chest illness which kept you away from your usual duties for as much as a week				
Have you ever had an injury or operation affecting your chest				
Have you ever had heart trouble				
Have you ever had Bronchitis, Pneumonia, Pleurisy				
Have you ever had Pulmonary Tuberculosis, Asthma, or other respiratory condition				
Provide further comment for items marked "YES"  6 Medication  Please state the type and dosages of all medications you are currently taking				
7 Allergies				



Please state if you have any allergies:

### HSEC Management System

Doc. No.	HSEC_FOR 031023
Version:	1.0
Reviser:	Sofiane Chebli
Approved by:	John Perry
Approval date:	21/11/2023



Medication:					
Chemical:					
Other:					
3- OCCUPATIONAL HEALTH QUESTION	NNAIRE:				
Have you been in a job where you have be	en expose	d to:			
Exposure agent			Date/ Duration of exposure	Protection	on used
	YES	NO		YES	NO
Chemicals		X			
If "YES" please specify					
Noise					
Vibrations					
Radiation					
Biological					
Asbestos Dust					
Lead exposure					
Other Dust (silica, coal, gold, diamond)					
If a protection was used for the above haza	ards, pleas	se specif	y.		
Have you been absent from work in the las					4
If yes, for how long and what were the cau	ses?		*		
Have you ever had a work-related injury or	illness on	orleon!	a componentian claim? If you		
please state:	iliness or	worker	s compensation claim? If yes,		4
The cause (s) of the illness or injury					
The medical treatment which you undertoo	ok and / or	continu	e to undertake		
Do you continue to suffer from the effects If you do, state the symptoms that you cor			njury or illness: YES NO		
in you do, old to any inplome that you oo.					
Do you continue to suffer from the effects	of a work-	related i	njury or illness:		P
If you do, state the symptoms that you cor	ntinue to s	uffer:			
Does the nature of your work involve the f	ollowing?			YES	NO



Doc. No.	HSEC FOR 031023
Version:	1.0
Reviser:	Sofiane Chebli
Approved by:	John Perry
Approval date:	21/11/2023



Driving heavy earthmoving equipment	B
Repetitive lifting/ bending	9
Working on surface in light physical duties	R
Prolonged standing posture	R
Passengers' vehicle driving	P
Office work	4
Confined Space	7
Working at heights	R
In contact with wildlife	4
Working Offshore	7
Working underground	5
Hot work area	Q

Hot work area			
APPLICANT'S STATEMENT:			
I declare that the answers to all q withheld any information regarding	uestions are to the best of my knowleding my past or present health.	ge correct and that I have no	t
Print Name:	Signature: Lu xu zhen	Date:	



### HSEC Management System

Doc. No.	HSEC_FOR 031023
Version:	1.0
Reviser:	Sofiane Chebli
Approved by:	John Perry
Approval date:	21/11/2023

Lbs

°F

Kg

°C



Height

## Simandou project Medical Assessment\_Long stay\_International

#### 4- PHYSICAL EXAMINATION:

BMI (body mass Index)

To be completed by the examining doctor Careful examination of all systems is requested, and <u>all sections</u> should be completed.

Weight

Temperature

Ft

cm

29

Blood pressure	Hmm8d 266	Respirato	ry rate:	1801m
Pulse rate		Pulse rhyt	thm	Regular Irregular
	1			
	Normal	Abnormal		
Eyes	尺		5==	} }
Ear, Nose and Throat	A		(5)	
Teath and Mouth	DE		}-\^_	11
Respiratory	K		211	
Cardiovascular	R		Ew (	lus Eul   lus
Abdominal	95		1/\.	
Musculoskeletal	OP.		()(	()()
Extremities	R		)()	
Genitourinary	2		6m2/ G	
Comments on clinical findi	ngs:			
				h . v .

#### 5- VISION EXAMINATION:

Vision:	Without Spe	Without Spectacles With Spec		Colour Vision:		
	Far	Near		Normal	☐ Red/Green	Other
Right	61/10	61 10	6/	Visual Fields:		





Doc. No.	HSEC_FOR 031023
Version:	1.0
Reviser:	Sofiane Chebli
Approved by:	John Perry
Approval date:	21/11/2023



## Simandou project Medical Assessment\_Long stay\_International

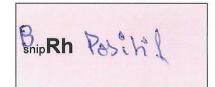
Left	61/10	61/0	6/	Normal	☐ Abnormal

#### 6- LABORATORY ANALYSIS:

Please submit the results of any tests as attachment if not captured in this form

**BLOOD GROUP** 

Test if not already known



#### **URINALYSIS:**

Glucose	NEANT	Ascence	Blood	NEANT ASSCENCE
Bilirubin	NEANT	Ascence	Leucocyts	NEANT MUCENCE
Ketone	NEANT	Abcence	Protein	NEANT HISCENCE

#### **BLOOD TESTS:**

Total blood count	* Normal	Abnormal:
Electrolytes	Normal     No	☐ Abnormal:
Fasting blood sugar	✓ Normal	Abnormal:
Urea	<b>™</b> Normal	☐ Abnormal:
Creatinine	Mormal Normal	☐ Abnormal:
Bilirubin	™ Normal	☐ Abnormal:
Cholesterol (Total, HDL, LDL)	<b>™</b> Normal	☐ Abnormal:
Triglycerides	Normal     No	☐ Abnormal:
ALAT- ASAT	Normal     No	☐ Abnormal:
Gamma GT	Normal	☐ Abnormal:
CRP	Normal	☐ Abnormal:

#### **URINE DRUG SCREENING:**



### HSEC Management System

Doc. No.	HSEC FOR 031023	
Version:	1.0	
Reviser:	Sofiane Chebli	
Approved by:	John Perry	
Approval date:	21/11/2023	



Amphetamines	Negative	Positive
benzodiazepines	Negative	Positive
cannabinoids	Negative     Negative     Negative     Negative	Positive
opiates	Negative	☐ Positive
Cocaine	Negative	☐ Positive



## **HSEC Management** System

Doc. No.	HSEC_FOR 031023
Version:	1.0
Reviser:	Sofiane Chebli
Approved by:	John Perry
Approval date:	21/11/2023



## Simandou project

	Medical As	ssessment_	Long stay_Inter	national
CHEST X RA	Υ			
Findings:				
□ Normal				
☐ Abnormal	:			
DESTING EC	C (Diagon attached the ECC att	in)		
	G (Please attached the ECG str	ip).		
Findings: ☐ Normal				
□ Abnormal				
- Abriornia				
				8
STRESS ECO	G (if clinically indicated)			
Findings:				
☐ Normal				
☐ Abnormal	:			
SPIROMETR	Y: Please attach the full repor	t		
		FVC	FEV 1	FEV %
Measured		2,986	2,981	100,00%
Predicted		3,74L	3,166	80,94%
% Predicted		79,68%	94,30%	123, 55%
Refer if FEV 1	/FVC ratio < 70%			
Comment in	n full on any abnormalities			
AUDIOMETR	Y: Please attach the audiogra	m		

# UNCONTROLLED DOCUMENT WHEN PRINTED 10/07/2024 Copyright Statement © Rio Tinto

Normal

**Abnormal** 

Comment



Doc. No.	HSEC_FOR 031023
Version:	1.0
Reviser:	Sofiane Chebli
Approved by:	John Perry
Approval date:	21/11/2022



ANO.	Simandou project				
	Medical Assessment_Long stay_International				
Left Ear					
Right Ear					
	%				
ACCINATION	ON:				
diseases. P A copy of t attached to	lease in the "Inte this form	ndicate the vac ernational Certific	cination statu cate of Vaccin the role and i	us of the applination Booklet"	n-risk country for several infectious and tropical cant and any administered vaccine.  or "The Immunization Record Card" must be vaccinations. If a vaccination is refused, please
Vaccination		Immune	Date		Comments
Mandatory:			PART COL		
Yellow Feve	er	检	14-1	03-24	
Highly reco	mmend	ed:		77-01	
Covid 19					
Hepatitis A					
Hepatitis B					
iopoint o					
5.50					
Tetanus					
Tetanus Polio					
Tetanus Polio Typhoid	ccal				
Tetanus Polio Typhoid Meningocoo	ccal				
Tetanus Polio Typhoid Meningocoo	ccal				
Tetanus Polio Typhoid Meningocoo Diphtheria Rabies*		o applicants who ma	ny be in contact w	ith wildlife as part	of their work nature.
Tetanus Polio Typhoid Meningocod Diphtheria Rabies* Highly recom Statement: "I hereby of aware of the	to be s	to applicants who me	plicant if they of the adminis	decline a vacci tration of the ng Guinea's hi	nation vaccine(s) stated above, after I was made gh epidemiological risk profile. My decision



## HSEC Management System

Doc. No.	HSEC_FOR 031023
Version:	1.0
Reviser:	Sofiane Chebli
Approved by:	John Perry
Approval date:	21/11/2023



141	edical Assessment_Long stay_international
Mal	aria chemoprophylaxis is highly recommended.
Please provide general info recognise early signs of Mal in Guinea.	rmation on preventive measures to avoid mosquito bites and how to aria. Please prescribe sufficient medication to cover the duration of stay
Malarone	☐ Prescribed
Doxycycline	Procured
Other	Declined